

H 11/ Reg for
Refund
07-17-03
SONY-12100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Scott D. Smyers et al.)	Art Unit: 2171
Serial No.: 09/608,617)	Examiner: Filipczyk, Marcin R.
Filed: June 30, 2000)	REQUEST FOR REFUND (IMPROPER CHARGE OF DEPOSIT ACCOUNT)
For: METHOD OF AND APPARATUS FOR WRITING AND READING TIME SENSITIVE DATA WITHIN A STORAGE DEVICE)	162 North Wolfe Road Sunnyvale, CA 94086 (408) 530-9700
)	Customer No. 28960
Attn: Refund Section, Accounting Division Office of Finance P.O. Box 1450 Alexandria, VA 22313-1450		

Sir:

This is a request for a refund, with respect to the charges to Deposit Account 08-1275, shown on the attached statement dated April 2003 for the above-identified patent application.

A Supplemental Information Disclosure Statement was filed for this application March 5, 2003 which included a Certification for Supplemental Information Disclosure Statement Under 37 CFR § 1.97(e) citing publications contained within an Office Action mailed on January 28, 2003 (copy attached). This disclosure was filed within the three month rule under 37 CFR § 1.97(e)(2). Therefore, no additional fees are required.

Applicant respectfully requests a credit to Deposit Account No.: 08-1275 in the amount of \$180.00 (fee code 1806), as this charge to the account was not required.

Respectfully submitted
HAVERSTOCK & OWENS LLP

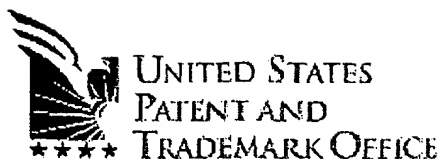
Dated: June 23, 2003

By: Jonathan O. Owens
Jonathan O. Owens
Registration No.: 37,902
Attorneys for Applicant(s)

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:
Commissioner for Patents, P.O. Box 1450
Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.
Date: 6/23/03 By: Sharon A. Conner

RECEIVED ACCOUNTING
DIVISION
JUN 30 11 10 AM '03



Deposit Account Statement

Requested Statement Month: April 2003
Deposit Account Number: 081275
Name: HAVERSTOCK & OWENS LLP
Attention: DANIELLE DALTON
Address: 260 SHERIDAN AVENUE SUITE 420
City: PALO ALTO
State: CA
Zip: 94306

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/03	1	PCT/US03/06813		8007	\$20.00	\$3,545.00
04/03	1	09608617		1806	\$180.00	\$3,365.00
04/03	2	09723615	GRQ-00100	1806	\$180.00	\$3,185.00
04/03	3	09286249	HELLO-00308	1806	\$180.00	\$3,005.00
04/08	129	PCT/US01/41944	JAG-00100WO	1606	\$260.00	\$2,745.00
04/15	77	10412202	JAG-00401	2201	\$5.00	\$2,740.00
04/22	3	09878304	SONY-02102	1806	\$180.00	\$2,560.00
04/23	1	09704642	SSI-00601	1806	\$180.00	\$2,380.00
04/23	496	75716204	ANDREWS-00300	7004	\$150.00	\$2,230.00
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$3,565.00	\$1,335.00	\$.00	\$2,230.00	

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DATE: 2003 JUN 30 AM 10:47

Serial No.: 09/606,617
In the Matter of The Application of: H&O File No.: SONY-12100 By: J00/JR
Date Mailed: 3/5/03 Scott D. Smyers et al.
Due Date: 4-25-03
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

☐ Design Patent Application _____ Pages
☐ Utility Patent Application _____ Pages
☐ Prov. Patent Application _____ Pages
☐ Declaration/Oath
☐ Power of Attorney _____

☐ Assignment
☐ Form PTO-1594/1595
☐ Small Entity Statement
☐ Drawings: _____ Sheets(s)
☐ Formal ☐ Informal

☒ Information Disclosure Statement
☒ Form PTO-1449 and Copies of 9
References Contained Thereon

☒ Information Disclosure Certification
☐ Amendment/Response
☐ Extension of Time Request: _____ Months(s)
☐ Petition

☐ Form PTO-1533 (Not. of Missing Parts)
☐ Form PTOL-858 (Issue Fee Trans.) & dup.
☐ Form PTO-1050 (Cert. of Correction)
☐ Maintenance Fee Transmittal Form
☐ Reexamination Request
☐ Notice of Appeal
☐ Affidavit/Declaration
☐ Copy of Filing Receipt
☐ Req. for Corr. of: _____
☐ Request for Non-Publication
☐ Rescission of Request for Non-Publication

☐ _____
☐ _____
☐ _____
☐ _____
☐ _____
☐ _____
☐ _____

☒ Transmittal Letter ☒ Duplicate
☒ Certificate of Mailing
☐ Certificate of Express Mailing
Label No.: _____
☐ Check(s): \$ _____
☒ Deposit Account Authorization Form

FOR PTO USE

203 JUN 30 AM 10:47

RECEIPT ACCOUNTING
DIVISION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Scott D. Smyers *et al.*

Serial No.: 09/608,617

Filed: June 30, 2000

For: **METHOD OF AND APPARATUS
FOR RECORDING TIME
SENSITIVE DATA WITHIN A
STORAGE DEVICE AND
RESYNCHRONIZING THE DATA
WHEN TRANSMITTING
RECORDED DATA FROM THE
STORAGE DEVICE IN ORDER TO
REGAIN TIME SYNCHRONY
AFTER A LAPSE IN SYNCHRONY
OR ERROR CONDITION**

) Group Art Unit: 2782

) Examiner:

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (4080 530-9700

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: March 5, 2003

By: Jonathan O. Owens

Jonathan O. Owens
Reg. No.: 37,902

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP

Date: 3/5/03 By: Marcia M. Connick

Attorneys for Applicants

RECEIPT ACCOUNTING
DIVISION
2003 JUN 30 PM 10:47